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NONLINEAR DYNAMIC ANALYSIS OF CONCRETE GRAVITY DAM

Stevcho Mitovski¹, Ljupcho Petkovski², Frosina Panovska³

Summary

Pine Flat Dam, located on King's River, California, was constructed by the US Army Corps of Engineers in 1954 with height of 122 m. In the paper is carried out nonlinear dynamic analysis of the dam under action of ETAF (Endurance Time Acceleration Function) excitation record. The numerical analysis was carried out by plane (2D) model, that actually is spatial model at 1m', by application of code SOFiSTiK. **Keywords**: Pine Flat Dam, numerical analysis, nonlinear dynamic analysis.

НЕЛИНЕАРНА ДИНАМИЧКА АНАЛИЗА НА ГРАВИТАЦИОНА БЕТОНСКА БРАНА

Стевчо Митовски¹, Љупчо Петковски², Фросина Пановска³

Резиме

Браната Пајн Флет (Pine Flat Dam), на реката Кингс, Калифорнија, е изградеан од страна на Корпусот на инжнери на Американската војска во 1954 година, со височина од 122 m. Во предметниот реферат е извршена нелинеарна динамичка анализа на браната при дејство на побудата ETAF (Endurance Time Acceleration Function). Нумеричката анализа е извршена со рамнински (2D) модел, кој всушност е просторен (3D) модел на 1m', со примена на програмскиот пакет SOFiSTiK.

Клучни збороови: Брана Пајн Флет, нумеричка анализа, нелинеарна динамичка анализа.

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1. INTRODUCTION

The dams, having in consideration their importance, dimensions, complexity of the problems that should be solved during the process of designing and construction along with the environmental impact are lined up in the most complex engineering structures (Tančev, 2005; Novak et all., 2007). The assessment of the structural stability and the behaviour of the dam during construction, at full reservoir and during the service period is of vital meaning for this type of structures.

Structural stability of concrete dams is confirmed with analysis (research) of the response of the structure (dam) under action of static [1-5] and dynamic loading [6-8], different between themselves according to the velocity of application of the loadings. Namely, at earthquake action, the seismic loading is executed in incomparably shorter time intervals compared with the application of the loadings during construction and exploitation of the dam. In this paper are systemized acknowledgments from the nonlinear dynamic analysis of concrete gravity dam, obtained with application of advanced numerical methods, based on finite element method. Namely, here below will be illustrated output data from the dynamic analysis of Pine Flat dam, constructed in 1954 in California, USA (H=122m).

Different acceleration-time history records, which vary in terms of intensity level, shape of the record, and frequency content, can be selected for performing nonlinear time history response analysis. One might expect that uncertainty in the response of a structure would increase as the level of excitation increases; however, there is no guarantee that a particular record will induce a sufficiently large excitation to push the structural response into the highly-nonlinear range. To overcome this issue without the need for repeating the nonlinear time history analysis at increasing excitation levels, the nonlinear dynamic analysis is conducted using an Endurance Time Acceleration Function (ETAF) [9]. The ETAF is an intensifying dynamic load that shakes the structure from low to high-excitation levels (Figure 1). Dynamic analysis conducted using the ETAF acceleration time-history is equivalent to nonlinear dynamic pushover analysis, where the structural response ranges from elastic to highly-nonlinear, and finally to collapse. Over a given period of time, the response spectrum of the ETAF increases proportionately with a selected target spectrum. Namely, the Endurance Time Analysis (ETA) is a dynamic pushover procedure which estimates the dynamic performance of the dam when subjected to a predesigned intensifying excitation. The simulated acceleration functions are aimed to shake the dam from a low excitation level - with a response in the elastic range - to a medium excitation level - where the dam experiences some nonlinearity - and finally to a high excitation level, which causes the failure. All these response variations can be observed though a single time history analysis. The case study of Pine Flat dam includes carrying out of nonlinear dynamic analysis of the dam in time domain for action of ETAF excitation for plane (2D) model.



Figure 1. ETAF excitation record.

2. PINE FLAT DAM

Pine Flat Dam, located on King's River, east of Fresno, California, was constructed by the US Army Corps of Engineers in 1954. It consists of thirty-six 15.25 m-wide and one 12.2 m-wide monolith. The length of the straight gravity dam is 561 m and the tallest non-overflow monolith no. 16 is 122 m high (Figure 2), adopted for the analysis. Within the stage of numerical analysis, following steps must be undertaken: (1) choice of material parameters and constitutive laws, (2) discretization of the dam and the rock foundation and (3) simulation of the dam behaviour for the typical loading states.



Figure 2. Downstream view of Pine Flat dam (left) and cross section geometry of monolith 16 (right).

2.1 Model Base Configuration

The model consists of the 15.24 m-wide dam monolith and a corresponding strip of the foundation. The origin of the axis system and key reference nodes are shown in Figure 2. The axis and reference nodes are located on the mid-width of the monolith. A "base configuration" of the model is defined according to the dam dimensions (Figure 2) and foundations dimensions' length: H-G=700 m, depth: I-H=122 m, dam heel location: I-A=305 m (Figure 3) and reservoir water level at 290.0 m. For the case study is prepared spatial (3D) numerical model at 1m', that can be considered as plane (2D) model.



Figure 3. Model cross section.

2.2 Short description of code SOFiSTiK

The numerical analysis of Pine Flat dam is carried out by application of SOFiSTiK code, produced in Munich, Germany. The program is based on finite element method and has possibilities for complex modelling of the structures and simulation of their behaviour. It also has possibility in the analysis to include certain specific phenomena, important for realistic

simulation of dam's behaviour, such as: discretization of the dam and foundation taking into account the irregular and complex geometry of the structure, simulation of stage construction, simulation of contact behaviour by applying interface elements, non-linear material modelling and etc. in order to assess the dam behaviour and evaluate its stability. The SOFiSTiK code in its library contains and various standards and constitutive laws for structural analysis. The core of the code is powerful and highly efficient CDBASE, in which a set of modules for various modelling problems are called upon by standard textual files combined with graphical user space [10].

2.3 Input parameters and constitutive laws for the materials

The choice of material parameters, as input data for the stress-deformation analysis is complex process, taking into account various factors and influences. A linear constitutive law is applied for the rock foundation and while for concrete in the dam body is applied nonlinear constitutive law (assumed to be homogeneous and isotropic throughout the entire dam), whereas input data are specified in Table 1. For such applied non-linear law the potentially damage zones occur in case of exceedance of the tension stresses. The nonlinear material constitutive law for concrete is applied according to the input data (Table 1), combined with the LADE elastic plastic material law [10-11]. The water load is important specific phenomena in case of dams. Rather complex is the water effect simulation in numerical models in case of dynamic loads in time domain, where there are generally two approaches – by Westergaard method by added masses [6] or by compressible fluid (applied here below). The damping parameters are adopted by Rayleigh damping calculation with input values for frequencies $f_1=4.18$ Hz and $f_2=9.95$ Hz.

| Parameter | Unit | Rock | Water | Concrete |
|-----------------------|---------|--------|----------|----------|
| Modulus of Elasticity | [MPa] | 22 410 | 0.01248 | 22410 |
| Density | [kg/m3] | 2 483 | 1000 | 2400 |
| Poisson Ratio | | 0.20 | 0.499999 | 0.20 |
| Compressive Strength | | | | 28.0 |
| Shear modulus | [MPa] | | 0.00416 | |
| Bulk modulus | [MPa] | | 2080 | |
| Tensile Strength | | | | 2 |

Table 1. Input parameters of the materials.

2.4 Discretization of dam body and foundation by finite elements

Numerical analysis in the report are performed by spatial (3D) model, at 1m' length in Xdirection, and it can be approximated as plane analysis. Namely, the applied boundary conditions enable plane state analysis of the models. The dam body and the foundation are modelled with volume elements. A powerful and reliable finite element should be applied in case where an analysis of structure with complex geometry and behaviour is required, having in consideration that the correctly calculated deformations and stresses are of primary significance for assessment of the dam stability. Generally, for discretization of the dam body and the rock foundation are applied quadrilateral finite element (as auxiliary elements, type quad, by 4 nodes), volume finite element (type brick, by 8 nodes) and interface element (dampers and water bedding) of type spring.

The model is composed of dam body, rock foundation and water fluid modelled as compressible, according to the specified geometry (Figure 4). The model has geometrical boundaries, limited to horizontal and vertical plane whereas are defined the boundary condition of the model, varying for various Cases. The discretization is conveyed by capturing of the zones of various materials in the model – concrete and rock foundation.

2.5 Dam loading

Static load includes weight of concrete dam, reservoir and foundation. The applied water loading includes simulation of the dam behaviour for normal reservoir level at El. 290.00 m.



Figure 4. Display of coarse finite element mesh (N=816), applied for the Case Study.

3. NON-LINEAR DYNAMIC ANALYSIS OF THE DAM

In the Case study a nonlinear dynamic analysis of the dam-foundation-reservoir system is performed considering the elastic and plastic material properties, the ETAF earthquake record and the normal reservoir water level.

The dam response is analysed by the obtained values for the displacements and the acceleration within the excitation period for ETAF record. On Figure 5 are displayed displacements time history at node A and node C as well and the relative displacements (C-A). The diagrams of displacements time history have rather expected shape of the dynamic response of the dam, having in consideration the shape of the ETAF excitation record. The amplitude of the maximal values of the displacements at node C is 1.36 m. The increasing pattern of the displacements time history at node C is mostly influenced by the ETAF record as well and the water reservoir.



Figure 5. The displacements time history at node A and node C and relative displacements (C-A).

On Figure 6 are displayed relative crest displacements at node C from conducted Case study of nonlinear dynamic analysis of Pine Flat dam by 14 participants, that tends to investigate the progressive damage response of the dam subjected to an ETAF [12]. It can be noticed that some of the individual curves show results as high as 4.70m. The median (dotted block line) curve shows a total of about 0.4 m displacement at t = 15 s. The obtained displacements at node C (Figure 5) are in similar shape and values compared with the obtained displacements at node C

from the conducted case study. However, it is required more detail specification of the nonlinear modelling and simulation of water reservoir behaviour.



Figure 6. Displacement evolution of the relative crest displacement (node C) under ETAF excitation record [14].

On Figure 6 is displayed dynamic response of the dam under action of the specified ETAF record at node C. Maximal values for accelerations as expected occurs at node C apropos the dam responds to ETAF record with amplification of the horizontal acceleration in the crest multiplied by 2.57 regarding the peak ground acceleration of ETAF record (dynamic amplification factor of DAF=2.25).



Figure 6. The acceleration time history at node A and node C.

On Figure 7 and Figure 8 are displayed assessed potentially critical zones of the dam, by superimposed maximal values of principal stresses I and II from all time steps. Namely, within the cross section of the dam are specified zones with the maximal tension stresses. The permissible tension stresses are specified at 2 MPa, while the permissible compression stress are specified at 10.0 MPa. Exceedance of the specified tension stress value will imply on potential zones for crack occurrence. Maximal value of the tension stress is 1.4 MPa, occurring at the zone of the below the dam crest, where the dam cross section is widening. Maximal value of the compression stress is 9.97 MPa, occurring at the downstream toe of dam.



Figure 7. Display of zones of maximal tension stress, $\sigma_{1,max}$ =1.40 MPa.



Figure 7. Display of zones of maximal compression stress, $\sigma_{3,max}$ =9.97 MPa.

4. CONCLUSIONS

From the numerical experiment of simulation of the structural behaviour of Pine Flat dam for ETAF excitation record, following main conclusions are drawn out:

- The displacements time history at node C (dam crest) have rather expected shape of the dynamic response of the dam, having in consideration the ETAF excitation record, with amplitude of 1.36 m. The pattern of the displacements time history at node C is mostly influenced by the ETAF excitation record as well and the water reservoir modelling.
- The gravity dam stability includes determination of potential damaged zones, mainly occurred by exceeding the tension stress of the concrete resulting in plastification of the material. The specification of permissible tension stresses for concrete type should be done

by experimental (laboratory or field) testing performed for that purpose and afterwards identification of such critical zones will be precisely determined. It can be concluded that there is no exceedance of the permissible tension or compressible stress that implies that the dam stability is achieved regarding cracks occurrence.

- The dynamic amplification factor DAF=2.57 the for ETAF record.
- The applied non-linear constitutive law for the concrete and modelling of the reservoir as compressible fluid has large impact to the obtained output results regarding the displacements, accelerations, damaged zones and occurrence of plastification and cracks. It is of paramount importance to be specified input data for the nonlinear concrete constitutive law and the water based on experimental laboratory investigations in order to be conveyed more accurate dynamic analysis.

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