

## GASTROINTESTINAL TRACT - RESERVOIR OF EXTENDED SPECTRUM B LACTAMASES PRODUCING STRAINS COLONIZING RESPIRATORY TRACT IN INFANTS

Popova Gorica<sup>1</sup>, Tasik V<sup>2</sup>, Boskovska K<sup>1</sup>, Ilievska T<sup>1</sup>

<sup>1</sup>Institut for respiratory diseases in children Kozle, Skopje; <sup>2</sup>Clinic of Pediatric Diseases, Skopje, R. Macedonia

### ABSTRACT

**Introduction** The usage of broad spectrum antibiotics leads to emergence of ESBL (extended spectrum  $\beta$  lactamases) gram - negative strains. Members of the normal gastrointestinal (GI) tract flora may cause endogenous disease if they reach tissues where they can not be tolerated.

**Objectives** The aims of this study are: to elaborate the prevalence of ESBL – producing strains obtained from patients with respiratory tract infections (RTIs), to investigate gastrointestinal colonization and possible endogenous reservoirs of infection, and to elaborate some epidemiological features of patients harboring ESBLs.

**Methods** Standard microbiological procedures were used for detecting bacteria, modificate triple disk diffusion test for detecting ESBLs, and disc diffusion test for measurement of antibiotic susceptibility

From 20 randomly selected patients with ESBLs cultivated from respiratory tract, stool samples and gastric contents were taken for detecting GIT colonization at the same time.

**Results** Of all enterobacteriaceae, ESBLs producers were 62.21% (214/344). Of all stool samples, 100% (20/20) were with predominant ESBLs gram - negative flora, and of all gastric samples in 90% (18/20) ESBLs gram- negatives were isolated, of which 94.4% (17/18) identified to the species level were identical to those isolated from respiratory tract.

**Conclusion** The prevalence of ESBLs isolated from respiratory tract in young patients is increasing. It seems that gram-negative ESBL-producers isolated from respiratory tract were indigenous gastrointestinal tract flora. Some epidemiological findings suggest possible risk factor for translocation of microbiological flora.

**Keywords:** ESBL strains, indigenous microbiological flora, translocation

### INTRODUCTION

Beta-lactams are a huge group of antibiotics which includes: penicillins, cephalosporins, monobactams and carbapenems. The presence of beta – lactam ring in their structure is common for these antibiotics [1, 2].

Members of the family *Enterobacteriaceae* usually produce plasmid coded enzymes known as beta lactamases (for example TEM-1, TEM-2 and SHV-1). The beta lactamases induce resistance to penicillins, in lower percentage to first generation cephalosporins, but they do not induce resistance to third generation cephalosporins [3]. More than 90% of ampicillin resistance among *E.coli* is because of TEM-1 production [3].

In the middle of the 1980s, a novel group of enzymes, called extended spectrum beta lactamases (ESBL), was detected. These enzymes evolved by mutation of parenteral genes for TEM-1, TEM-2 and SHV-1. These mutations lead to amino-acid changes near the active center of the enzymes, which enables them to hydrolyze the oxyimono-cephalosporins (cefotaxime, ceftriaxone, ceftazidime) and monobactams (aztreonam) [3, 4, 5]. According to Bush, Jakoby and Medeiros classification, ESBL enzymes are defined as beta-lactamases capable of hydrolyzing oxyimono-cephalosporins (third generation cephalosporins) and they are inhibited by beta-lactamases inhibitors, for example clavulanic acid. [4, 5, 6].

CTX – M is a recently described group of ESBL enzymes [7, 8, 9]. These enzymes successfully hydrolyse cefotaxime, but are less successful in hydrolyzing ceftazidime (the reason behind its given name) [7, 8]. CTX - M genes are located on plasmids with size from 7 to 260 kb [7]. These genes are derived from the *Kluyvera spp.* chromosome which is a saprophytic species [10], an example for horizontal gene transfer. CTX – M are reported from different parts of the world and it seems that these are the most prevalent ESBL enzymes nowadays.

Plasmids are extrachromosomal gene material and they are replicated independently of the chromosome. Besides ESBL genes, other genes responsible for the resistance to other groups of antibiotics (for example aminoglycosides) is located on the plasmids and because of these antibiotic choices for ESBL infection treatment sometimes is extremely limited [5]. These genetic elements can easily be transmitted by conjugation from one to another bacterial cell and this process overcomes the frame of bacterial species [5].

Gastrointestinal tract (GUT) is a complex eco - system which includes approximately 800-1000 different bacterial species [11]. In GUT this majority of bacterial species function together in many complex and different physiological processes. Furthermore, commensal GUT flora in the same time interacts with the host immune cells and host enterocyte [11].

The diversity of bacterial eco – system is relatively poor in infancy, but later it reaches complexity and it stays relatively stable during the life [12, 13, 14, 15]. Ecological balance between commensal GUT flora and human as a host may be disturbed by many factors. The most dramatic disturbance is a result of antimicrobial administration [16, 17, 18]. Antibiotic therapy is given to destroy bacteria causing illness, but in the same time it affects commensal GUT flora. This is a side effect and it depends of many factors such as: type of antibiotic, its spectrum of activity, dose of antibiotic, length of antibiotic treatment and antibiotics pharmacokinetics and pharmacodynamics properties. If the parenterally given antibiotic is secreted in greater amount through intestinal mucosa, or if the antibiotic is excreted in active form through biliary system its impact on commensal GUT flora will be greater [17, 19, 20]. Mainly this impact is on density of normal GUT flora and occurs of resistant strains [17]. This disturbance of normal GUT flora may lead to many pathological conditions in the human host [21, 22].

Members of the normal GUT flora may cause endogenous disease if they reach tissues where they can not be tolerated [23,24].

### **Objectives**

The objectives of this study was to elaborate the prevalence of ESBL producing strains isolated from patients with respiratory tract infections. To elaborate gastrointestinal tract colonization as endogenous reservoir of infection. To elaborate some epidemiological features of patients harboring ESBL producing strain.

### **MATERIALS AND METHODS**

For this study, samples from hospitalized patients in period from January 2014 to November 2014 were used. All patients were aged from 0 to 18 years and hospitalized in The Institute for respiratory diseases in children in Skopje, because of severity of infections of the respiratory tract. 268 strains of *Escherichia coli* and 76 *Klebsiella pneumoniae* were isolated and identified from samples as sputum and/or tracheal aspirate, using standard microbiological procedures. For isolation and identification of bacteria, blood agar plate (Oxoid, UK), chromogenic agar plate – UTI (Oxoid, UK), ChromoID ESBL agar (bioMérieux, France) – which is chromogenic selective medium for ESBL screening were used. For investigating of biochemical activity and mobility of bacteria IMVC (indole, methyl red, Voges-Proskauer, citrate) mediums were used. They were home prepared from dry substances made in Merck, Germany.

Disc diffusion test was used for measurement of antimicrobial susceptibility. For this purpose bacterial suspensions with turbidity of 0.5 McFarlands were prepared and then inoculated on Mueller-Hinton agars which were 4 mm in depth. On the surface of the plates, antimicrobial discs were placed manually and the distance between the discs was approximately 3cm. The following discs (Oxoid, UK) were used: amoxicillin/clavulanic acid (20/10 $\mu$ g), cefotaxime (30 $\mu$ g), ceftazidime (30 $\mu$ g), cefoxitin (30 $\mu$ g), cefepime (30 $\mu$ g), imipenem (10 $\mu$ g), ciprofloxacin (5 $\mu$ g), trimethoprim-sulfamethoxazole (1.25/23.75 $\mu$ g), amikacin (30 $\mu$ g), gentamicin (10 $\mu$ g). The plates were incubated at aerobic conditions, at temperature of 37°C, during 18 – 24 hours. The interpretation of the tests was according CLSI (Clinical Laboratory Standard Institute) recommendations, measuring the zone of inhibition around the antibiotic discs. Strains which were resistant towards some of the third generation cephalosporins were selected as suspect ESBL producers. These strains were ongoing for phenotypic testing for ESBL, so, modified triple disc diffusion test (suggested by Jarlier et al.) was performed. This test is based on synergy acting between third generation cephalosporins and clavulanic acid. The susceptibility disc, containing amoxicillin-clavulanate, was placed in proximity to discs containing ceftazidime (CAZ) and cefotaxime (CTX). Enhancement of the zone of inhibition of the CAZ and CTX caused by the synergy of the clavulanate in the amoxicillin-clavulanate disc indicates the presence of Bush group 2b enzyme. Using this method, 214 ESBL positive strains were selected of which *Escherichia coli* - 190 and *Klebsiella pneumoniae* - 24. All selected ESBL-positive strains were susceptible to cefoxitin (30  $\mu$ g) and imipenem (10  $\mu$ g). This excluded the presence of AmpC – beta – lactamases (which are capable of hydrolyzing cefoxitin and they are not inhibited by clavulanic acid), and the presence of carbapenemases (imipenem is substrate of carbapenemases acting).

Gastric lavation and feces from 20 randomly selected patients with ESBL strain isolated from sputum and/or tracheal aspirate were taken for microbiological analyses at the same time. The samples from the digestive system were seeded on blood agar plate (Oxoid) and incubated aerobically at 37°C during 18-24 hours. After the incubation time for further analyses only the Gram – negative strains which were dominant on the plate were undertaken. The same principle (previously explained) was used for detection of ESBL enzymes. Resistotyping was done by using the same antibiotic disks in disc diffusion tests for isolates from respiratory tract and from digestive system.

In purpose to identify the possible risk factors for infection or colonisation with ESBL positive strains, retrogradely 50 records from patients with ESBL isolates from sputum or tracheal aspirate were analysed.

## RESULTS

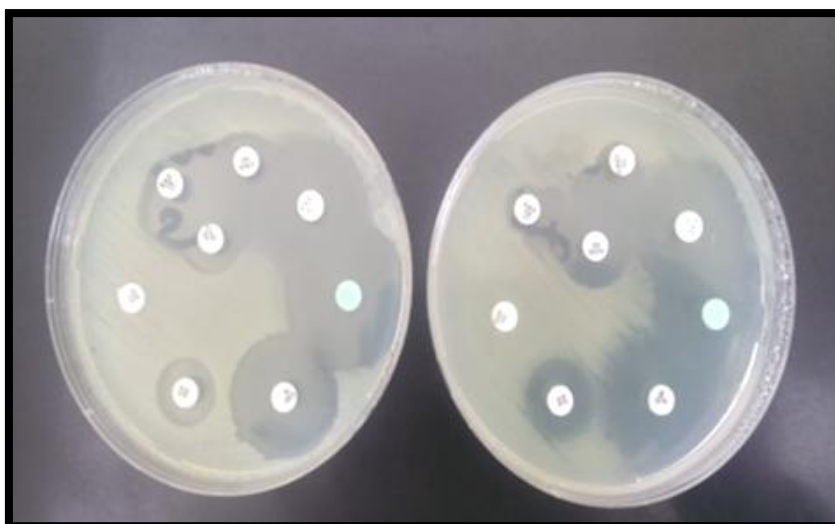
In a period from January 2014 to November 2014, of all Gram - negatives isolated from sputum/tracheal aspirat, ESBL producers were 62.21% (214/344). Of all isolated *Escherichia coli*, ESBL - positive were 70.89% (190/268), and of all isolated *Klebsiella pneumoniae*, ESBL- positive were 37.58% (24/76). Of (n=20) patients with ESBL- strain isolated from sputum / tracheal aspirat, samples from digestive system (feces and gastric lavat) were taken for microbiological analysis. In 100% (20/20) ESBL – positive Gram – negative strains (as dominant flora) were isolated from aerobically cultivated feceses (fig. 1.).

In 90% (18/20) ESBL – positive Gram – negative strains were isolated from aerobically cultivated gastric lavations.

In those (n=17) cases where the same strain (*Escherichia coli*) was isolated from sputum and gastric lavat, resistotyping was done and in 88.2% (15/17) the same resistotype was identified (fig. 2, fig. 3).

Retrogradely analysed records of hospitalised patients (n=50) with ESBL – positive strain isolated from respiratory tract, showed that middle age of the patients was 5,6 months (from 1 to 10 months). In 40% (20/50) there was a previous hospitalization ( in the last four mounts).

Before hospitalisation, 38% (19/50) had been treated with perorally given cephalosporins (cefixime, cefaclor, cefuroxime, cefpodoxime). All patients (n=50) during hospitalisation were treated with parenteral cephalosporins and middle time of antibiotic treatment before the samples for microbiological analysis was taken was 2.58 days.



**Fig. 1.** Resistotyping of two strains (*Escherichia coli*) isolated from sputum and feces in the same patient



**Fig. 2.** Resistotyping of two strains (*Escherichia coli*) isolated from sputum and gastric lavat in the same patient



**Fig. 3.** Comparison between resistotypes isolated from sputum, feces and gastric lavat in the same patient

## DISCUSSION

The introduction of 3rd generation cephalosporins in clinical practice in the early 80s means a successful fight against bacterial resistance conditioned by production of beta lactamases.

In 1983 the first plasmid-coded beta- lactamase capable to hydrolyse the 3rd generation cephalosporins was published [23]. Since then more than 220 TEM and 180 SHV types of beta -lactamases with characteristics of ESBL have been detected. World wide, new types of beta-lactamases continually are detected and they are registered in few databases [24, 25].

Since their first appearance, ESBL producing strains have dramatically spread [26, 27, 28, 29, 30].

There are more relevant studies about the impact of parenteral given antibiotics on commensal GUT flora [31, 32, 33, 34, 35]. One of the unwanted effects is appearance of resistant strains, including ESBL producing gram negatives. This aspect is proven by many clinical studies and many experimental studies on animal models [36, 37, 38, 39].

On the other hand, there are studies which attest the preventive role of orally given beta lactamases, capable to hydrolyse the part of parenterally given antibiotic which is secreted in the GUT [36, 37, 39].

These findings are hypothetically very interesting in purpose to prevent GUT flora by the acting of parenterally given antibiotics.

In our study as a risk factor for colonization/infection of respiratory tract with gram- negatives, including ESBL strains is a small age (under the age of one).

Acid gastric content is a huge barrier for bacteria, but according Mitchell's and her coworkers's research, gastric content in infancy has different biochemical characteristics [41]. Milk is the basis of infancy feeding and milk has near neutral pH with little changes during lactation. Besides the pH value, milk has significantly specified buffer capacity [42].

Mitchell and coworkers were surprised because of the small reflux index measured in infants with clinical manifestation of reflux diseases. The explanation is that a long period of time during pH monitoring, the gastric content had pH >4 (with these pH values reflux can not be detected) and this was connected with the feeding of milk.

Besides the pH values of gastric content, the length of oesophagus, immaturity of gastroesophageal sphincter and horizontal position are possible risk factors for bacterial translocation.

Possibility of bacterial translocation is supported by our microbiological findings with high percent of identical strains and resistotypes isolated from both – GUT and respiratory tract.

There is a need for future molecular researches in order to detect which is the molecular similarity between the strains isolated from both – GUT and respiratory tract in the same patient [43, 44, 45].

## CONCLUSION

There is a high prevalence of ESBL producing strains isolated from respiratory tract in small children (under the age of one). Using 3<sup>rd</sup> generation cephalosporins is a major risk factor for appearance of ESBL producing strains. It seems that gram-negative ESBL-producers isolated from respiratory tract were indigenous gastrointestinal tract flora and GUT is their main reservoir. Small age is a risk factor for translocation of bacteria, enabling the colonisation of the respiratory tract.

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